



**Weierstrass Institute for  
Applied Analysis and Stochastics**



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Mathematik für Schlüsseltechnologien

# Statistical issues in accessing brain functionality and anatomy

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- Strong magnetic field (usually 1.5 – 3 Tesla(T), up to 10.5 T)
- Radio frequency pulse at Lamour-frequency
- Measuring relaxation times ( $T_1$  (z-direction),  $T_2$  (phase coherence in x-y), and  $T_2^*$ ) of magnetic spin excitation in receiver coil(s)
- Image generation by 2D-FFT

**Goal:** Understanding how the brain works

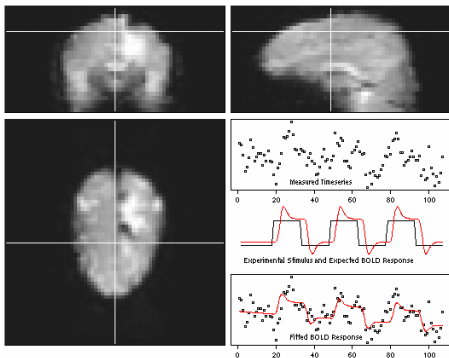
functional Magnetic Resonance Imaging (fMRI):

- Locate brain functionality in grey matter
- Assessment of population variability
- Identification of functional networks
- Presurgical planning and diagnosis

Diffusion weighted MR imaging (DWI):

- Focus on white matter anatomy
- Measure anisotropy of water diffusion in the brain using additional magnetic field gradients
- Restricted water diffusion within neuronal fiber bundles

- 3D x T data
- $64 \times 64 \times 30$  voxel
- Resolution  $2 \times 2 \times 4\text{mm}^3$
- image formats: DICOM / AFNI / NIFTI / Analyze
- noise: thermal noise, system noise (variations in magnetic field, magnetic field inhomogeneity), physiological noise (respiration, heart beat)
- artifacts from head motion
- spatial and temporal correlation



### Tools in R (Medical imaging taskview):

- Analysis: Packages [fMRI](#) and [AnalyzefMRI](#)
- data IO: Packages [fmri](#), [oro.dicom](#), [tractor.base](#)

- Observed Signal in voxel  $i$ :

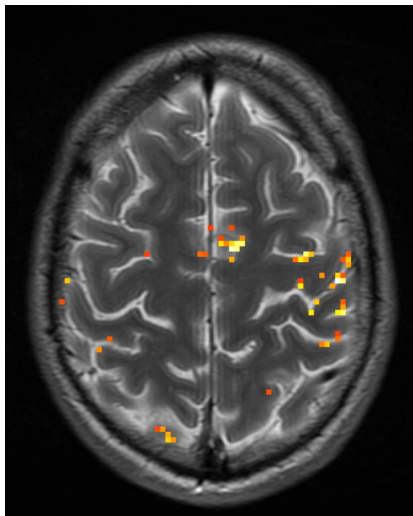
$$\begin{aligned} Y_{it} &= \int_0^{\infty} h(t-t')s(t')dt' + g(i,t) + \varepsilon_{it} & t = 1, \dots, T & \quad i = (i_x, i_y, i_z) \\ &= x_t^\top \beta_i + \varepsilon_{it} & x_t = \left( \int_0^{\infty} h(t-t')s(t')dt', 1, t, t^2, g_1(t), \dots \right)^\top \end{aligned}$$

- Prewhitening using  $AR(1)$  error model
- Estimate parameters by least squares
- Contrast:  $\gamma = c^\top \beta$ ,  $\hat{\gamma}_i = c^\top \hat{\beta}_i$ ,  $\mathbf{D}\hat{\gamma}_i = c^\top \mathbf{D}\hat{\beta}_i c$ .
- Statistical parametric map (SPM):  $\Gamma = (\hat{\gamma}_i)$ ,  $i = (i_x, i_y, i_z)$
- Inference based on SPM

```
library(fmri)
data128moto <- read.AFNI("test2_128_motor_re+orig")
hrf <- fmri.stimulus(scans = 105, c(18, 48, 78), 15, 2)
z <- fmri.design(hrf)
spm128moto <- fmri.lm(data128moto,z,keep="all")
pvalue128moto <- fmri.pvalue(spm128moto)
plot(pvalue128moto,maxpvalue=0.01,file="test2_128_motor",device="png")
```

### Voxelwise analysis

- Multiple testing 100000 - 500000 voxel
- Adjustment by Bonferroni or FDR leads to high thresholds



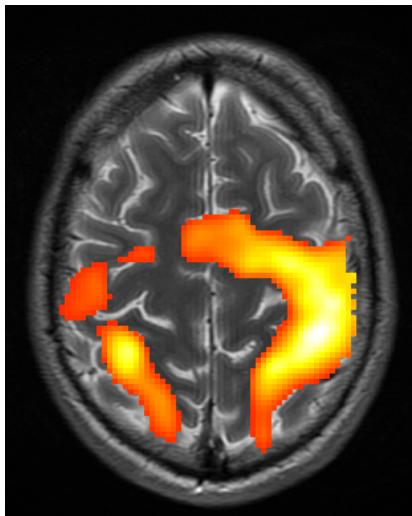
voxelwise decision

Gaussian filter (FWHM bandwidth) + RFT

- Multiple testing 100000 - 500000 voxel
- Spatial smoothing increases SNR and decreases number of independent tests
- threshold selection by Random Field Theory

Code:

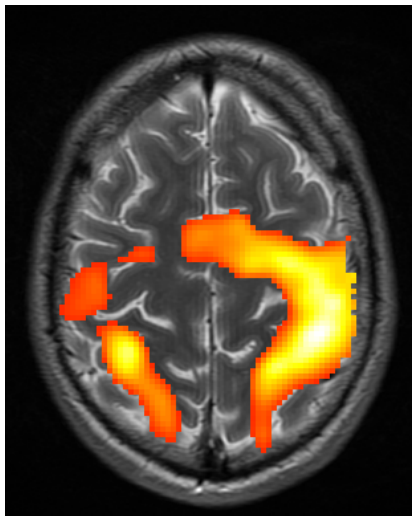
```
spm128motosm6 <- fmri.smooth(  
    spm128moto,hmax=6,  
    adaptive=FALSE)  
pv128motosm6 <- fmri.pvalue(  
    spm128motosm6)  
plot(pv128motosm6,maxpvalue=0.01,  
    file="test2_128_motorsm6",  
    device="png")'
```



decision using nonadaptive smoothing

Gaussian filter (FWHM bandwidth) + RFT

- Increase of resolution decreases SNR
- Use of standard filters loses gain from higher spatial resolution due to larger bandwidths



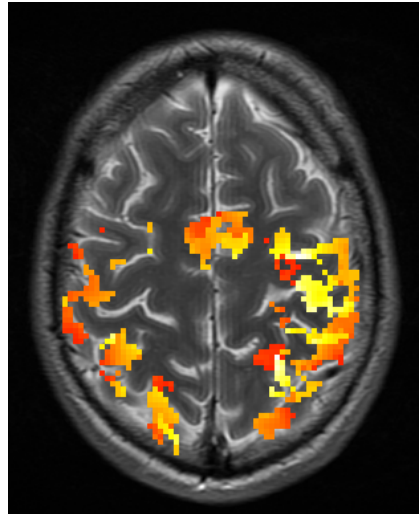
Non-adaptive smoothing + RFT

### Adaptive smoothing (AWS) + RFT

- Increase of resolution decreases SNR
- Use of standard filters loses gain from higher spatial resolution due to larger bandwidths
- Use of adaptive smoothing preserves spatial structure

Code:

```
spm128motoaws6 <- fmri.smooth(  
    spm128moto,hmax=6)  
pv128motoaws6 <- fmri.pvalue(  
    spm128motoaws6)  
plot(pv128motoaws6,maxpvalue=0.01,  
    file="test2_128_motoraws6",  
    device="png")'
```



Structural adaptive smoothing + RFT

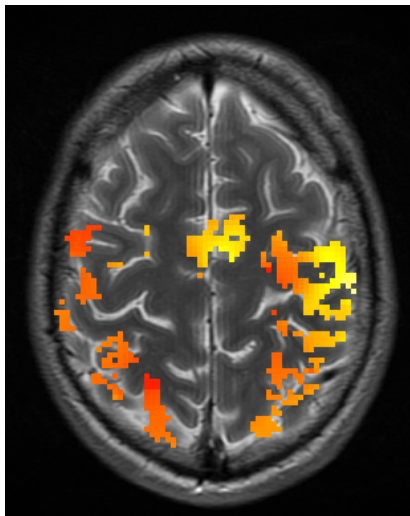


### Adaptive segmentation

- Increase of resolution decreases SNR
- Use of standard filters loses gain from higher spatial resolution due to larger bandwidths
- Use of adaptive smoothing preserves spatial structure

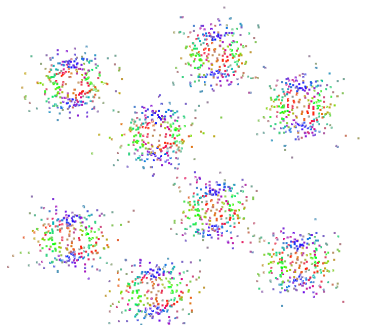
Code:

```
spm128motosegm6 <- fmri.segment(  
    spm128moto,hmax=6)  
plot(pv128motosegm6,  
    file="test2_128_motorsegm6",  
    device="png")
```



Structural adaptive segmentation

- 3D +  $S^2$  data
- Measurements of integral values on a regular grid of voxel (size  $\approx 1\text{mm}^3$ )
- Structures of interest have a diameter of 10 – 30  $\mu\text{m}$  and length of up to 10cm
- 1 – 30 measurements without gradient field ( $S_0$ )
- 12 – 180 measurements with additional gradient ( $S(\vec{g})$ )
- gradient directions uniformly sampled from the sphere  $S^2$
- Observations live in an 3D orientation score  $R^3 \times S^2$ .



ADC  $-\log(S_{\vec{g}}/S_0)$ , 140 gradients in one voxel

Tools in R (Medical imaging taskview):

- Analysis: Package `dti` and TractoR project

Code:

```
library(dti); demo(mixtens_art) # dwi data in object z
show3d(z[5:6,5:6,5:6],FOV=1); rgl.bg(color="white") # Visualize observations
```

- Diffusion characterized by a symmetric positive semi-definite  $3 \times 3$  matrix  $\mathcal{D}$
- Nonlinear Model

$$S_i(\vec{g}) \sim \text{Rice}(\theta; \exp(-b\vec{g}^\top \mathcal{D}_i \vec{g}), \sigma_i^2)$$

- Nonlinear regression with positivity constraints

$$\mathbf{R}(\zeta, \theta, \mathcal{D}) = \sum_j \frac{(\zeta(\vec{g}_j) - \theta \exp(-b\vec{g}_j^\top \mathcal{D}_i \vec{g}_j))^2}{\sigma_{j,i}^2}$$

$$\begin{pmatrix} \hat{\theta}_i \\ \hat{\mathcal{D}}_i \end{pmatrix} = \arg \min_{\theta, \mathcal{D}} \mathbf{R}(\hat{\zeta}_i, \theta, \mathcal{D})$$

Code:

```
library(dti)
bvec <- read.table("b-directions.txt") # gradients
dwobj <- readDWIdata(bvec,"s0004",format="DICOM",xind=48:204,yind=19:234,nslice=66)
dwobj <- sdpar(dwobj,level=300)# variance estimates and threshold
nytens <- dtiTensor(dwobj) # tensor estimates
```

■ Mean diffusivity  $Tr(\mathcal{D}) = \mu_1 + \mu_2 + \mu_3$

■ Fractional anisotropy (FA)

$$FA = \sqrt{\frac{3}{2}} \sqrt{\frac{(\mu_1 - \langle \mu \rangle)^2 + (\mu_2 - \langle \mu \rangle)^2 + (\mu_3 - \langle \mu \rangle)^2}{\mu_1^2 + \mu_2^2 + \mu_3^2}},$$

■ Geodesic anisotropy (GA) (Fletcher (2004), Corouge (2006))

$$GA = \left( \sum_{i=1}^3 (\log(\mu_i) - \overline{\log(\mu)})^2 \right)^{1/2}, \quad \overline{\log(\mu)} = \frac{1}{3} \sum_{i=1}^3 \log(\mu_i)$$

■ Bary-coordinates (characterizing spherical, planar and linear shape)

$$C_s = \frac{\mu_3}{\langle \mu \rangle} \quad C_p = \frac{2(\mu_2 - \mu_3)}{3\langle \mu \rangle} \quad C_l = \frac{(\mu_1 - \mu_2)}{3\langle \mu \rangle}$$

Code:

```
nytenschar <- extract(nytens,c("fa","ga","md","values","andir"))  
nydtind <- dtIndices(nytens)
```

- Gray-valued map of mean diffusivity
- Color coded FA / GA maps
- Principal eigenvector  
 $\vec{e}_1 = (e_{1x}, e_{1y}, e_{1z})$  color coded in RGB

- Commonly used

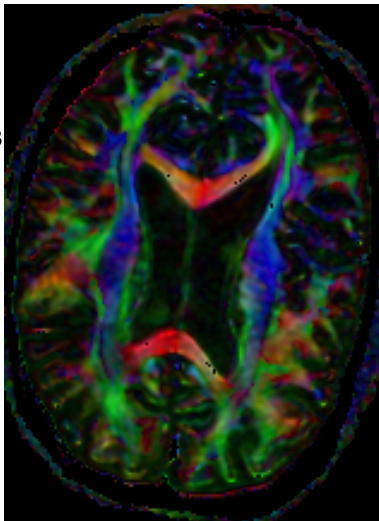
$$(R, G, B) = (|e_{1x}|, |e_{1y}|, |e_{1z}|) \cdot FA$$

- Better alternative

$$(R, G, B) = (e_{1x}^2, e_{1y}^2, e_{1z}^2) \cdot FA$$

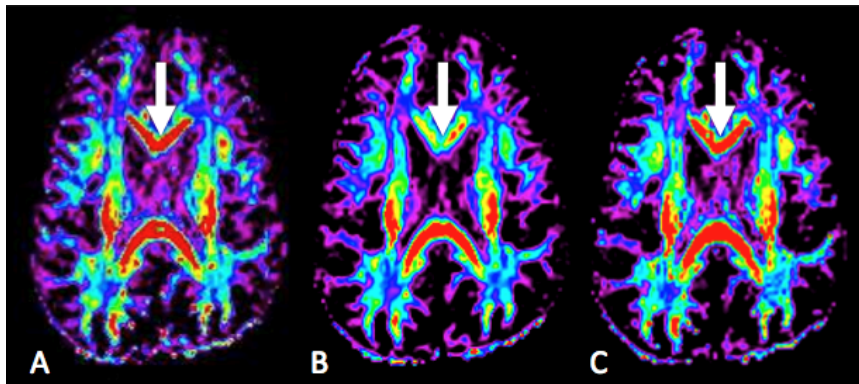
Code:

```
nyccfa35 <- plot(nydtind,slice=35)  
write.image(nyccfa35,"nyccfa35.png")
```



## Smoothing in DWI ?

- Adaptive smoothing provides more stable estimates without loss of structure
- enables to reduce recording time



A: unsmoothed

B: non-adaptive

C: adaptive

### Limitations of Diffusion Tensor Imaging

- DT-model assumes homogeneous fiber structure in a voxel
- Reality: high percentage of voxel with fiber crossings or bifurcations

### More accurate description

- $P(\vec{r}, \vec{r}', \tau)$  probability for a particle to diffuse from position  $\vec{r}'$  to  $\vec{r}$  in time  $\tau$
- Mean diffusion function (over a voxel  $V$ ):

$$P(\vec{R}, \tau) = \int_{\vec{r}' \in V, \vec{R} = \vec{r} - \vec{r}'} P(\vec{r}, \vec{r}', \tau) p(\vec{r}') d\vec{r}'$$

- **Orientation density function (ODF)** (weighted radial projection of  $P$ , Aganji 2009)

$$\Psi_{(w)}(\vec{u}, \tau) = \int_0^\infty r^2 P(r\vec{u}, \tau) dr = \frac{1}{4\pi} - \frac{1}{8\pi^2} \int_{\theta=\pi/2}^{2\pi} \nabla_b^2 \ln(-\ln E) d\phi$$

for anisotropic Gaussian diffusion using Funk-Radon transform,  $E(\vec{q}) = ES_{\vec{q}}/S_0$ ,  $\vec{q} = q\vec{u}$  represented as  $(q, \theta, \phi)$  and  $\nabla_b^2 E = \frac{1}{q^2} \left[ \frac{1}{\sin(\phi)} \frac{\delta}{\delta\theta} (\sin\theta \frac{\delta E}{\delta\theta}) + \frac{1}{\sin^2\theta} \frac{\delta^2 E}{\delta\phi^2} \right]$

### Q-Ball imaging:

- expansion into spherical harmonics (Descoteaux et al., (2007), Aganj (2009))

$$\ln(-\ln E(\vec{g}_i)) = \sum_{j=1}^J c_j Y_j(\vec{g}_i) \quad \psi_w(\vec{u}) = \frac{1}{2\sqrt{\pi}} Y_1(\vec{u}) - \frac{1}{16\pi^2} \sum_{j=2}^J 2\pi P_{k_j}(0) k_j(k_j+1) c_j Y_j(\vec{u})$$

- Fast (linear), high-frequency artifacts (needs regularization), ODF via Funk-Radon transform is non-linear in  $E \dots (\ln(-\ln E))$ .

### Tensor Mixture Models:

- Model:

$$\frac{S(\vec{g})}{S_0} = \sum_i w_i \exp(-b \vec{g}^\top \mathcal{D}_i^{-1} \vec{g}) \quad \sum_i w_i = 1, \quad w_i \geq 0$$

- ODF: Mixture of Angular Central Gaussian distributions

$$\psi(\vec{u}, \tau) = (4\pi)^{-1} \sum_i w_i |\mathcal{D}_i|^{-1/2} (\vec{u}^\top \mathcal{D}_i^{-1} \vec{u})^{-3/2}$$

- parameter identifiability ? to flexible ... Reparametrization:

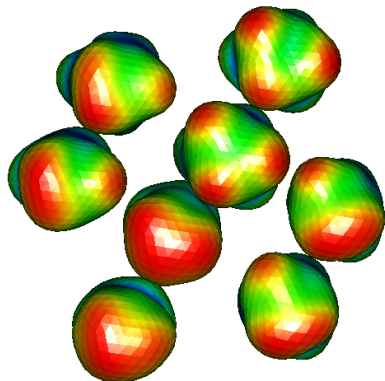
$$\mathcal{D}_i = \lambda_2 I_3 + (\lambda_1 - \lambda_2) d_i d_i^\top$$



## Examples

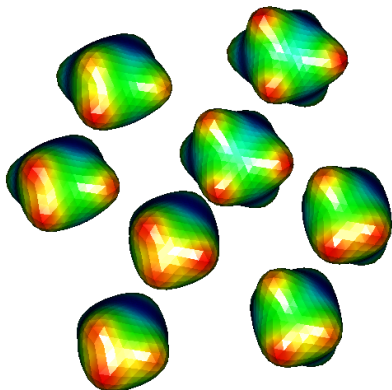
### Q-Ball

```
zqball <- dwiQball(z,order=8,  
                  lambda=1e-2)  
show3d(zqball, FOV=1)  
rgl.bg(color="white")
```



### Tensor-Mixtures

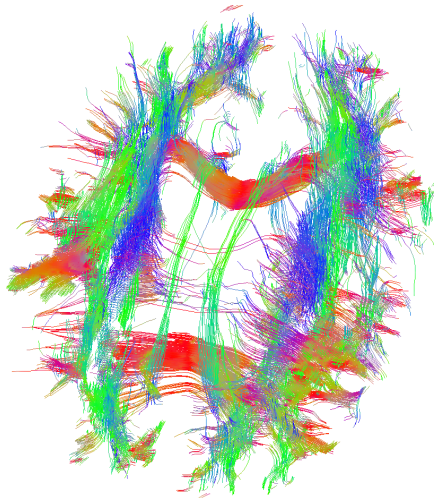
```
zmix5 <- dwiMixtensor(z,maxcomp=5)  
show3d(zmix5, FOV=1)  
rgl.bg(color="white")
```



- DTI and tensor mixture models provide vector fields of preferred directions
- Currently implemented: Streamline tracking for tensor and tensor mixture models
- Alternatives: probabilistic tracking, minimization of energy functionals

Code:

```
nymix4 <- tracking(dwobj,maxcomp=4)
tracks <- tracking(nymix4,roiz=40)
show3d(tracks, FOV=1)
rgl.bg(color="white")
```



Fiber tracks crossing slice 35 using tensor mixtures order 4

Combine results from

- fMRI (identification of regions with specific functionality)
- DWI (identification of fiber bundle connections )

Goals (see e.g. Hagmann et.al. PLOSone (2007)), Pittsburgh Brain competition.

- Construction of connectivity maps
- weighted networks of brain connections ( 500-4000 nodes, 25000 - 100000 edges )

### Joint Work with:

- Henning Voss, Weill Medical College, Cornell University

### Cooperation:






- Citigroup Biomedical Imaging Center, Weill Medical College, Cornell University
- University of Münster
- BNIC, Charitè, Berlin
- Max-Planck Institute for Human Cognitive and Brain Sciences, Leipzig





### R-Community:

- CRAN Task View: Medical Image Analysis  
Jonathan Clayden, Pierre Lafaye de Micheaux, Volker Schmid, Brandon Whitcher

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